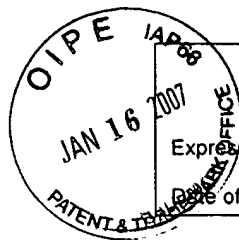


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**U.S.P.S. EXPRESS MAIL "POST OFFICE TO ADDRESSEE" SERVICE
DEPOSIT INFORMATION**

Express Mail Label No.: EV 906 816 406 USDate of Deposit: January 16, 2007

**BRINKS
HOFER
GILSON
& LIONE**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: R. Kaminsky et al..Appln. No.: 09/699,533Filed: October 30, 2000For: Automated Trading Exchange System And
Method Utilizing Randomized Opening
ProceduresExaminer: N. B. NguyenArt Unit: 3628Attorney Docket No: 11927/89

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Attached is/are:

- ☒ Transmittal (in duplicate); Amendment; Three Drawing Replacement Sheets; One Annotated Sheet
☐ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+ \$180=			+ \$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17, associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

1/16/2007

Respectfully submitted,

Kent E. Genin (Reg. No. 37,834)



"Express Mail" mailing label number EV 906 816 406 US

Date of Deposit: January 16, 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Ross G. KAMINSKY, et al.

Appln. No.: 09/699,533

Filed: October 30, 2000

For: AUTOMATED TRADING
EXCHANGE SYSTEM AND
METHOD UTILIZING
RANDOMIZED OPENING
PROCEDURES

Examiner: Nga B. Nguyen

Art Unit: 3628

Attorney Docket No: 11927/89

AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Ex Parte Quayle Action mailed on November 16, 2006,
Applicants submit the following Amendments and Remarks. Applicants respectfully
submit that the attached amendments fully address the formal issues raised in the
November 16, 2006 Ex Parte Quayle Action.

BRINKS
HOFFER
GILSON
& LIONE